

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/847,113	05/01/2001	Chunlin Tao	A-69306-1/RFT/RMS/RMK	5406
7590 10/07/2003			EXAMINER	
ROBIN M. SILVA, ESQ.			SIEW, JEFFREY	
FLEHR HOHB	ACH TEST ALBRITTO	N & HERBERT LLP		
Four Embarcadero Center, Suite 3400 San Francisco, CA 94111-4187			ART UNIT	PAPER NUMBER
			1637	

DATE MAILED: 10/07/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	09/847,113	TAO ET AL.				
Office Action Summary	Examiner	Art Unit				
	Jeffrey Siew	1637				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period we Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	within the statutory minimum of thirty (30) days ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	rely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
<u> </u>	s action is non-final.					
3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims						
	application					
I)⊠ Claim(s) <u>1-11 and 45-47</u> is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)☐ Claim(s) <u>1-11</u> is/are rejected.						
7)⊠ Claim(s) <u>45-47</u> is/are objected to.						
8) Claim(s) 43-47 israre objected to:  8) Claim(s) are subject to restriction and/or election requirement.						
Application Papers	ologion requirement.					
9) The specification is objected to by the Examiner.						
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11)⊠ The proposed drawing correction filed on <u>05 October 2001</u> is: a)⊠ approved b)⊡ disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12) The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) All b) Some * c) None of:						
1. Certified copies of the priority documents	have been received.					
2. Certified copies of the priority documents	2. Certified copies of the priority documents have been received in Application No					
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
a) ☐ The translation of the foreign language provisional application has been received.  15)☑ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.						
Attachment(s)						
<ul> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>Information Disclosure Statement(s) (PTO-1449) Paper No(s)</li> </ul>	5) Notice of Informal Pa	(PTO-413) Paper No(s) atent Application (PTO-152)				
	·	<u></u>				

Application/Control Number: 09/847,113

Art Unit: 1637

### **DETAILED ACTION**

# THE FOLLOWING IS A NEW GROUND OF REJECTION NECESSITATED BY THE AMENDMENT

## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-11& rejected under 35 U.S.C. 103(a) as being unpatentable over Bauer et al (US6,096,497 Aug 1, 2000) in view of Everhart et al (6,060,256 May 9, 2000).

Bauer et al teach a biosensor composition (see whole doc. esp. abstract) which comprises a metallic surface (see col.5 line 33) and asymmetric monolayer forming species with disulfide linkage (see (col. 6 line 33-35), a monolayer forming species of insulators (see col. 6 line 23-25) and an electroconduit forming species (see col. 6 line 55-60). They teach that the MFS may be

Art Unit: 1637

alkyl or alkyl group of combination of long or short chain (see col. 6 line 9, 22). They teach that alkyl group of three carbons (see col.12 line 25). They teach functional groups help stabilize biding enzymes such as hydroxyl carboxylic and aryl groups. They teach that AG may be alkyl moiety of 6 carbons (see col. 6 line64).

Bauer et al do not teach SAM with oligonucleotides

Everhart et al teach biosensors with SAM containing oligonucleotides (see whole doc. esp. example 5). They teach metallic surfaces (see example 5)

One of ordinary skill in the art would have been motivated to apply Everhart et al's oligonucleotide probes to Bauer et al's biosensor composition in order to detect target nucleic acids. Everhart et al's states that their SAM containing oligonucleotides provide for inexpensive and sensitive detection. It would have been prima facie obvious to apply Everhart et al's oligonucleotides to Bauer et al's biosensor in order to detect target nucleic acids in an inexpensive and sensitive manner.

#### **SUMMARY**

2. Claim 45-47 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. There is no prior art that teach or suggest the composition containing the claimed structure as shown in claim 45. Nor is there prior art that teach or suggest the composition with insulator in the SAM layer.

Application/Control Number: 09/847,113

Art Unit: 1637

## Conclusion

3. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey Siew whose telephone number is (703) 305-3886 and whose e-mail address is Jeffrey. Siew@uspto.gov. However, the office cannot guarantee security through the e-mail system nor should official papers be transmitted through this route. The examiner is on flex-time schedule and can best be reached on weekdays from 6:30 a.m. to 3 p.m. If attempts to reach the examiner are unsuccessful, the examiner's supervisor, Gary Benzion, can be reached on (703)-308-1119.

Any inquiry of a general nature, matching or filed papers or relating to the status of this application or proceeding should be directed to the <u>Tracey Johnson</u> for Art Unit 1637 whose telephone number is (703)-305-2982.

Art Unit: 1637

Papers related to this application may be submitted to Group 1600 by facsimile transmission. Papers should be faxed to Group 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The CM1 Center numbers for Group 1600 are Voice (703) 308-3290 and FAX (703)-308-4242.

PRIMARY EXAMINER

October 6, 2003